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**FACSIMILE COVER SHEET**

Deliver to: Mary J. Steelman, USPTO Art Group: 2122  
Facsimile No.: 703.872.9306 Date: November 8, 2004  
From: Thinh V. Nguyen, Reg. No. 42,034  
Our Docket No.: 42390P11280 Number of pages 5 including this sheet.  
Application No.: 09/823,105 Filing Date: 3/30/2001  
Docket Due Date(s): 11/6/2004

Enclosed are the following documents:

<input type="checkbox"/> Amendment: _____ ( ____pgs)	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> Appeal Brief (in triplicate) ( ____pgs)	<input checked="" type="checkbox"/> Notice of Appeal
<input type="checkbox"/> Application: _____ ( ____pgs) w/cover & abstract	<input type="checkbox"/> Petition for: _____
<input type="checkbox"/> Assignment & Cover Sheet ( ____pgs)	<input type="checkbox"/> Request for Continued Examination (RCE)
<input type="checkbox"/> Certificate of _____	<input type="checkbox"/> Reply Brief ( ____pgs)
<input type="checkbox"/> Continued Prosecution Application (CPA)	<input type="checkbox"/> Request & Certification Under 35 USC 122(b)(2)(B)(i)
<input type="checkbox"/> Declaration & POA ( ____pgs)	<input type="checkbox"/> Request to Rescind Previous Nonpublication Request
<input type="checkbox"/> Drawings: ____sheets, ____ figures	<input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter
<input type="checkbox"/> Extension of Time: _____	<input type="checkbox"/> Response to Written Opinion ( ____pgs)
<input checked="" type="checkbox"/> Fee Transmittal (in duplicate)	<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> IDS & PTO/SB/08 ( ____pgs)	<input type="checkbox"/> Transmittal of Publication Fee Due
<input type="checkbox"/> Other _____	<input checked="" type="checkbox"/> Transmittal Letter

**CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8A)**

I hereby certify that this correspondence is being transmitted by facsimile on the date shown below to the United States Patent and Trademark Office.

  
Tu T. Nguyen

11/8/2004

Date

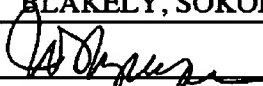
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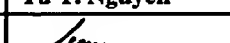
If you do not receive all the pages, or if there is any difficulty in receiving, please call: (714) 557-3800 and ask for Tu T. Nguyen.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	09/823,105
		Filing Date	March 30, 2001
		First Named Inventor	Guei-Yuan Lueh
		Art Unit	2122
		Examiner Name	Mary J. Steelman
Total Number of Pages in This Submission	4	Attorney Docket Number	42390P11280

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 50px; width: 100%;"></div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Thinh V. Nguyen, Reg. No. 42,034 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	November 8, 2004

CERTIFICATE OF MAILING/TRANSMISSION			
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Typed or printed name	Tu T. Nguyen		
Signature		Date	November 8, 2004

Based on PTO/SB/21 (04-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wlr) 06/04/2004.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

# FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$) **340.00**

## Complete if Known

Application Number	09/823,105
Filing Date	March 30, 2001
First Named Inventor	Guei-Yuan Lueh
Examiner Name	Mary J. Steelman
Art Unit	2122
Attorney Docket No.	42390PI1280

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account

Deposit Account Number: 02-2666

Deposit Account Name: Blakely, Sokoloff, Taylor &amp; Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

## 2. EXTRA CLAIM FEES

Total Claims:  - 20 =  X  =  Fee Paid

Independent Claims:  - 3 =  X  =  Fee Paid

Multiple Dependent:  X  =  Fee Paid

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	44	Independent claims in excess of 3	
1203	300	2203	150	Multiple Dependent claim, if not paid	
1204	88	2204	44	**Reissue Independent claims over original patent	
1206	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*\*or number previously paid, if greater. For Reissues, see below

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,630	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1404	340	2401	170	Notice of Appeal	340.00
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1481	1,510	2481	1,510	Petition to institute a public use proceeding	
1462	110	2462	55	Petition to revive - unavoidable	
1463	1,370	2463	685	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	650	2503	330	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(g)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$) 340.00

## SUBMITTED BY

Name (Print/Type) **Thinh V. Nguyen**Registration No. **42,034**  
(Attorney/Agent)

## Complete (if applicable)

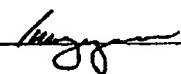
Telephone **(714) 557-3800**

Signature

Date

 Based on PTO/SB/17 (10-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wtr) 02/10/2004.  
 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

<div style="display: flex; justify-content: space-between;"><div><h1 style="margin: 0;">FEE TRANSMITTAL</h1><h2 style="margin: 0;">for FY 2004</h2><p style="margin: 0; font-size: small;">Effective 01/01/2004. Patent fees are subject to annual revision.</p></div><div style="text-align: right; font-size: small;">Complete If Known</div></div>				Application Number		09/823,105	
				Filing Date		March 30, 2001	
<div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</div><div style="text-align: right;">TOTAL AMOUNT OF PAYMENT (\$)</div></div> <div style="text-align: right; font-size: large; margin-top: 10px;">340.00</div>				First Named Inventor		Guei-Yuan Lueh	
				Examiner Name		Mary J. Steelman	
				Art Unit		2122	
				Attorney Docket No.		42390P11280	
METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)			
<div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Check    <input type="checkbox"/> Credit card    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other    <input type="checkbox"/> None</div><div><input checked="" type="checkbox"/> Deposit Account</div></div> <div style="margin-top: 10px;">Deposit Account Number: <span style="border: 1px solid black; padding: 2px 20px;">02-2666</span></div> <div style="margin-top: 10px;">Deposit Account Name: <span style="border: 1px solid black; padding: 2px 40px;">Blakely, Sokoloff, Taylor &amp; Zafman LLP</span></div> <div style="margin-top: 10px; font-size: small;">The Commissioner is authorized to: (check all that apply)</div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Charge fee(s) indicated below</div><div><input checked="" type="checkbox"/> Credit any overpayments</div></div> <div style="margin-top: 5px;"><input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.</div> <div style="margin-top: 5px;"><input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account</div>				3. ADDITIONAL FEES			
				Large Entity      Small Entity			
Fee Code    Fee (\$)				Fee Code    Fee (\$)			
Fee Description				Fee Description			
Fee Paid				Fee Paid			
1051    130    2051    65    Surcharge - late filing fee or oath				<div style="border: 1px solid black; height: 100px; width: 100%;"></div>			
1052    50    2052    25    Surcharge - late provisional filing fee or cover sheet.							
2053    130    2053    130    Non-English specification							
1812    2,520    1812    2,520    For filing a request for <i>ex parte</i> reexamination							
1804    920    1804    920    * Requesting publication of SIR prior to Examiner action							
1805    1,840    1805    1,840    * Requesting publication of SIR after Examiner action							
1251    110    2251    55    Extension for reply within first month							
1252    430    2252    215    Extension for reply within second month							
1253    980    2253    490    Extension for reply within third month							
1254    1,530    2254    765    Extension for reply within fourth month							
1255    2,060    2255    1,040    Extension for reply within 12th month							
1404    340    2401    170    Notice of Appeal							
1402    340    2402    170    Filing a brief in support of an appeal							
1403    300    2403    150    Request for oral hearing							
1451    1,510    2451    1,510    Petition to institute a public use proceeding							
1452    110    2452    55    Petition to revive - unavoidable							
1453    1,370    2453    685    Petition to revive - unintentional							
1501    1,370    2501    685    Utility issue fee (or reissue)							
1502    480    2502    245    Design issue fee							
1503    660    2503    330    Plant issue fee							
1460    130    2460    130    Petitions to the Commissioner							
1807    50    1807    50    Processing fee under 37 CFR 1.17(q)							
1806    180    1806    180    Submission of Information Disclosure Stmt							
8021    40    8021    40    Recording each patent assignment per property (times number of properties)							
1809    790    1809    395    Filing a submission after final rejection (37 CFR § 1.129(a))							
1810    790    2810    395    For each additional invention to be examined (37 CFR § 1.129(b))							
1801    790    2801    395    Request for Continued Examination (RCE)							
1802    900    1802    900    Request for expedited examination of a design application							
Other fee (specify) _____							
SUBTOTAL (3) (\$)				340.00			
* Reduced by Basic Filing Fee Paid							
SUBTOTAL (1) (\$)				SUBTOTAL (2) (\$)			
SUBTOTAL (2) (\$)				SUBTOTAL (3) (\$)			
**or number previously paid, if greater, For Reissues, see below							
SUBMITTED BY				Complete (if applicable)			
Name (Print/Type)				Registration No.			
Thinh V. Nguyen				(Attorney/Agent)			
Signature				42,034			
Telephone				(714) 557-3800			
Date				Date			

NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES		Docket Number (Optional)  42390P11280
I hereby certify that this correspondence is being transmitted via facsimile on the date shown below to the United States Patent and Trademark Office.  November 8, 2004		In re Application of Guei-Yuan Lueh
Application Number 09/823,105		Filed 03/30/2001
Signature  Typed or printed name <u>Tu T. Nguyen</u>		For STATIC COMPILATION OF INSTRUMENTATION
Art Unit 2122		Examiner Mary J. Steelman
<p>Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.</p> <p>The fee for this Notice of Appeal is (37 CFR 1.17(b)) <u>\$340.00</u></p> <p><input type="checkbox"/> Applicant claims small entity status under 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is: _____</p> <p><input type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><input type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of the fee transmittal.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. <u>02-2666</u>. I have enclosed a duplicate copy of the fee transmittal.</p> <p><input type="checkbox"/> A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.</p> <p><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.</b></p> <p>I am the</p> <p><input type="checkbox"/> applicant/inventor.</p> <p><input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)</p> <p><input checked="" type="checkbox"/> attorney or agent of record.</p> <p><input type="checkbox"/> attorney or agent acting under 37 CFR 1.34(a). Registration number if acting under 37 CFR 1.34(a) _____</p> <p>NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.</p> <p><input type="checkbox"/> Total of _____ forms are submitted.</p>		

Based on PTO/SB/31 (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 09/11/2003.  
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